



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D C 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/977,866	10/15/2001	Kenneth H. Falchuk	010498-00027

CONFIRMATION NO. 3736

FORMALITIES LETTER



OC00000007129262

John P. Iwanicki
BANNER & WITCOFF, LTD.
28th Floor
28 State Street
Boston, MA 02109

Date Mailed: 11/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$278.
 - \$54 for 6 total claims over 20.
 - \$84 for 2 independent claims over 3.
 - \$140 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 713.

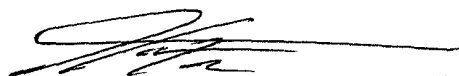
The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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A copy of this notice MUST be returned with the reply.

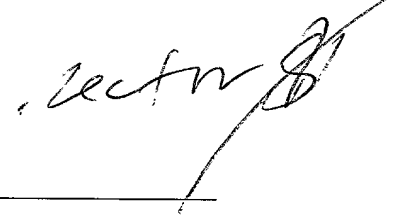


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

0997866-01200



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kenneth H. Falchuk

Serial No.: 09/977,866

Filed: October 15, 2001

Title: COMPOUNDS AND METHODS FOR REGULATING CELL DIFFERENTIATION

Examiner:

Art Unit: 1614

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Dear Sir:

Responsive to the Notice to File Missing Parts of Application under 37 CFR 1.53(b) mailed November 28, 2001 (a copy of which is enclosed), Applicant as a small entity submits herewith the following:

Payment of the basic filing fee of \$370.00;

Payment of the additional/multiple dependent claims fees of \$278.00;

A Combined Declaration and Power of Attorney in compliance with 37 CFR 1.63;

Transmittal of twelve (12) sheets of formal drawings; and

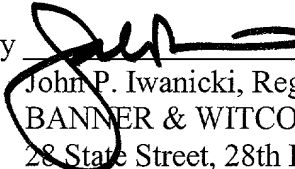
Payment of the surcharge of \$65.00 for late filing of the basic filing fee and declaration.

THE *The Journal of the American Medical Association*

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply the instant filing fees, in the amount of \$713.00, and any other charges or any credits, to Deposit Account No. 19-0733. A duplicate of this paper is enclosed.

Respectfully submitted,

Dated: January 28, 2002

By 
John P. Iwanicki, Reg. No. 34,628
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09/977,866-01000